



## ASSET INFORMATION

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### Summary of Plan Assets:

List the market value of each investment as of the last day of the plan year.

#### ASSETS

Cash \$ \_\_\_\_\_

Money Market Funds \_\_\_\_\_

CD's \_\_\_\_\_

Government Securities \_\_\_\_\_

Corporate Securities (Stock) \_\_\_\_\_

Corporate Bonds \_\_\_\_\_

Mutual Funds \_\_\_\_\_

Mortgages and Loans \_\_\_\_\_

Real Estate \_\_\_\_\_

Cash Values of Insurance Products\* \_\_\_\_\_

Participant Loans (Please attach a schedule of loan payments, including interest and principal payments and outstanding balance for each loan.) \_\_\_\_\_

Accrued Interest \_\_\_\_\_

Other: \_\_\_\_\_

**TOTAL ASSETS** \$ \_\_\_\_\_

#### LIABILITIES

Payables \$ \_\_\_\_\_

Acquisition Indebtedness \_\_\_\_\_

Other: \_\_\_\_\_

**TOTAL LIABILITIES** \$ \_\_\_\_\_

*\*Attach annual individual statements and Schedule A information for the year (as provided by insurer).*



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### Statement of Trust Fund Operation:

1) Beginning Trust Value – As of beginning of plan year		\$ _____
2) Contributions deposited during the plan year:		
a) Employer		\$ _____
b) Employee		\$ _____
i. Salary Deferrals (only for defined contribution plans)		\$ _____
ii. Roth Salary Deferrals (only for defined contribution plans)		\$ _____
iii. Rollovers		\$ _____
3) Investment Gain/(Loss):		
a) Interest	\$ _____	
b) Dividends	\$ _____	
c) Realized Gain/(Loss)	\$ _____	
d) Unrealized Gain/(Loss)	\$ _____	
e) Change in Insurance Cash Values	\$ _____	
f) Other: _____	\$ _____	
Total Investment Gain/(Loss)		\$ _____
4) Distributions to Participants (including rollovers):		\$ _____
5) Expenses Paid by Plan Assets (if applicable)		
a) Fees and Commissions	\$ _____	
b) Administrative Expense	\$ _____	
c) PBGC Premiums (defined benefit plans only)	\$ _____	
c) Insurance Premiums	\$ _____	
d) Other: _____	\$ _____	
Total Expenses paid by Plan Assets		\$ _____
6) Ending Trust Value – As of end of plan year		\$ _____

(Should be equal to (1) + (2a) + (2b) + (3) - (4) - (5); should also equal Total Assets on the Summary of Plan Assets.)

