

# IRS FORM 1099-R WORKSHEET

Form 1099-R Year (please enter four-digit year if prior to 2021): 2015

**Return completed worksheet to Independent Actuaries, Inc. by the 1st week of January of the calendar year following the date of distribution.**

By mail: Independent Actuaries, Inc.  
Five Centerpointe Dr., Suite 520  
Lake Oswego, OR 97035

By fax: 503.520.1147  
On web: <http://indact.sharefile.com>

Plan Name:		Trust EIN:	
Plan Sponsor Address		Telephone:	
		Contact Person for IRS (for Form 1096)	
		Worksheet completed by	

If taxes have been withheld and you want Independent Actuaries, Inc. to prepare Form 945, check here  and complete following:  
 Have taxes been paid?:  Yes – latest date paid: \_\_\_\_\_  No Final Filing for plan?: Y / N (for IAI use only)

### PARTICIPANT DATA

Recipient's Social Security Number (A)	Recipient's Name and Complete Address (B)	Gross Distribution during calendar 2015 (C)	Federal Taxes withheld (D)	State Taxes withheld (E)	Distribution Codes* (F)
For IAI use only: After-tax EE contr: \$      Qualifies for Avg'ing? Y / N      Total Dist'n? Y / N      Cap. Gain: \$      Taxable Amt: \$					
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\*Distribution Codes

1 = Distribution before age 59½ and none of 2, 3, or 4 applies	G = Direct rollover to traditional IRA, qualified plan or Tax Sheltered Annuity
2 = Distribution before age 59½ but not subject to 10% penalty	X = Any distribution or in-plan conversion involving Roth funds. Your IAI consultant will contact you for further information.
3 = Distribution due to Disability	
4 = Distribution due to Death	
7 = Distribution or Roth conversion after age 59½	8 = Excess contribution/earnings from failed tests, taxable in 2015
9 = Life Insurance costs (PS 58)	P = Excess contribution/earnings from failed tests, taxable in 2014
L = Loans treated as deemed distribution	E = Excess Annual Additions under Section 415 & other payments under EPCRS

**IRS FORM 1099-R WORKSHEET  
(CONCLUDED)**

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